## Format of holding of specified securities

- 1. Name of Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC001365)
- 2. Scrip Code Name of Scrip -,- Class of Security Equity
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2020
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The entity is required to submit the following declaration to the extent of submission of information:-

	Particulars		Yes*	No*
1	Whether the Entity	y has issued any partly paid up shares?		No
2	Whether the Entity	y has issued any Convertible Securities or Warrants?		No
3	Whether the Entity	y has any shares against which depository receipts are issued?		No
4	Whether the Entity	y has any shares in Locked-in?		No
5	Whether any share	s held by promoters are pledge or otherwise encumbered?		No

<sup>\*</sup> If the Entity selects the option 'No' for the questions above, the columus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

KOTHARI	PHYTOCHEMICALS	& INDUSTRIES LTD.

Tal	le I - Summary S	statement ho	lding of specifie	d securities as	on 31/03/2020							)				,		
			No. of fully	No.of Partly	No. of shares underlying	Total	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number	securit o of Voting Rig	ghts	class of	No of shares Underlying outstanding convertible	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share	Number of shares	f Locked in s (XII) As a % of	pledged o	of shares r otherwise ered (XIII)	Number of equity shares held in
	Category of			paid-up equity		nos.shares held		Class eg:x	Class eg:y	Total	Total as a		capital)	0.759	total		total	dematerializ
	eg shareholder			and the second s		( , , , , , ,	As a % of			2 0	% of		(XI)=(VII)+(X) As	NI- (-)	shares	NI= (=)		ed form
	(I) (II) Promoter &	rs (III)	(iV) 3860757		(VI)	(V)+(VI) 3860757	(A+B+C2) 99.4227	3860757	0	3860757	(A+B+C) 99.4227		a % of (A+B+C2) 99.4227	No. (a)	held (b) 0.0000	No. (a)	held (b) 0.0000	(XIV) 3860757
(A)	Promoter group	10	3660757	ľ		3860737	99.4227	3800737		3860737	99.4221		99.4227		0.0000	,	0.0000	3000737
(B)	Public	1291	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	(	0.0000	NA		10537
(C)	Non Promoter - Non Public								15				-			NA		
(C	) Shares underlying DRs						NA	·								NA		
(C:	Shares held by Employee Trusts								act.							NA		
	Total	1301	3883175	0	0	3883175	100.0000	3883175	C	3883175	100.0000	0	100.0000		0			3871294

	RI PHYTOCHEMICALS & INDUSTR		motor and D	leamatas Casu	24/02/5	1000					1								
able II	- Statement Showing shareholding p	battern of the Pro	noter and P	romoter Grou	p ar 31/03/2	2020												N.	
									Number of Vo	ting Right securiti Voting R	es (IX)	h class of		Shareholdin g as a % assuming full	Number of Loshares (XII)	ocked in	Number of pledged or encumber	r otherwise	
1)	Category & Name of the Shareholders (I) Indian	PAN (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)		Total nos.shares held (VII)=(IV)+ (V)+(VI)	Sharehold ing % calculated as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Class x	Class y	Total	Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants)	conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X ) As a % of	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	Number of equity shares he in demateria zed form (XIV)
	Individuals/Hindu undivided																		
a)	Family		4	40861	0	C	40861	1.0523	40861	0	40861	1.0523	C	1.0523	C	0.0000	C	0.0000	4086
	ARIIN KUMAR KOTI ME	A A EL LA COCCI :		100:0			100:0	0.4000	100:0		100:0	0.1000		0.4000		0.0000		0.0000	100
	ARUN KUMAR KOTHARI	AAFHA2890H	1	10010					19040	- 0									
	ANAND VARDHAN KOTHARI PRABHAWATI DEVI KOTHARI	ARZPK7312D AFLPK3786R	1 1	13300 6500					13300 6500	0	10000							0.000	
	ARUN KUMAR KOTHARI	AFLPK3766R AFLPK3831P	1	2021	0			0.1674	2021	0									
	Central Government/State	ALL KOOS IF	1	2021	1	1	2021	0.0020	2021		2021	0.0020	<u> </u>	0.0020		0.0000	1	0.0000	1 202
0)	Government(s)							9.1					- 9						
;)	Financial Institutions/Banks												30						
d)	Any Other (specify)												10.41						
	Bodies Corporate		6	3819896	C		3819896	98.3704	3819896	C	3819896	98.3704		98.3704	(	0.0000	) (	0.0000	381989
	KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AACCK1560A	1	2828080	o		2828080	72.8291	2828080	C	2828080	72.8291	(	72.8291	C	0.0000	0	0.0000	282808
	M.D. KOTHARI & COMPANY LTD.	AADCM5835F	1	576525	0		576525	14.8467	576525		576525	14.8467		14.8467		0.0000		0.0000	57652
	G. DAS & COMPANY PRIVATE	7 VID ON ICCCCI	<del> </del>	0,0020			010020	14.0407	0,0020		070020	11.0101		1-1.0-107	-	0.0000		0.0000	0,00
	LIMITED	AABCG2416L	1	216291	0		216291	5.5700	216291	C	216291	5.5700		5.5700		0.0000		0.0000	2162
	ALBERT DAVID LTD	AACCA3933D	1	190000	C	0	190000	4.8929	190000	C	190000	4.8929	(	4.8929	(	0.0000	(	0.0000	1900
	PREMIER SUPPLIERS PRIVATE LIMITED	AACCP9823B	1	8000	C		8000	0.2060	8000	c	8000	0.2060		0.2060		0.0000		0.0000	80
	SATYAM FINANCIAL SERVICES LTD.	AALCS3758B	1	1000			1000	0.0258	1000		1000	0.0258		0.0258		0.0000		0.0000	10
	Sub Total		10		C				3860757	0								0.0000	
2)	Foreign					Miles Market													
a)	Individuals (Non-Resident Individuals/Foreign Individuals)	Land Co.			7.50														
0)	Government																		
c)	Institutions																		
d)	Foreign Portfolio Investor																		
e)	Any Other (specify)																		
	Sub Total		(	0 0				0.0000	0	(	) 0	0.0000		0.0000		0.0000		0.0000	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	3860757			3860757					99,4227		99.4227					38607

	ARI PHYTOCHEMICALS & INDUSTR																4		,
Table I	II - Statement Showing shareholding	pattern of the I	Public sharel	holder as on 3	31/03/2020				Number of	/otina Ri	ghts held in eac	th class of					sh pled	nber of nares dged or erwise	
			-	*,							rities (IX)	]		Shareholding as a % assuming full	Number of shares		encu	mbered XIII)	
(1)	Category & Name of the Shareholders (I) Institutions	PAN (II)	Nos. of	No. of fully paid up equity shares held (IV)	up equity	Nos. of shares underlying Depository Receipts (VI)	Total nos.shares held (VII)=(IV)+ (V)+(VI)	Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Class x	Class y	Total	Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities	conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	No. (a)	As a % of total shares held (b)	appli cable	of total shares held (Not	Number of equity shares held in demateria lized form (XIV)
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors			±x															
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																-		
(a)	Individuals-	I.																	
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		1278	10149	0	0	10149	0.2614	10149	0	10149	0.2614	0	0.2614	0	0.0000	NA		1904
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs																		
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts			* //															
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Investor Education and Protection Fund Authority	at <sub>a</sub>	1	8629	0	0	8629	0.2222	8629	0	8629	0.2222	0	0.2222	0	0.0000	NA		8629
	Bodies Corporate	7-5	10	116	0	0	116	0.0030	116	0	116	0.0030	0	0.0030	0	0.0000	NA		0
	Non Resident Individual		2	3524	0	0	3524	0.0908	3524	0	3524	0.0908	0			0.0000			4

-	RI PHYTOCHEMICALS & INDUST				1 100 10000													,	,
Table II	<ul> <li>Statement Showing shareholding</li> </ul>	pattern of the F	Public share	holder as on	31/03/2020														,
									1	secu	ghts held in eac	h class of		Shareholding as a %	Number of		sh pled othe encur	nber of ares ged or erwise mbered	a
									NO 0	f Voting I	Rights	-		assuming full	shares	(XII)	()	(III)	1
								Sharehol ding %					No of	conversion of convertible					
								calculate					shares Underlying	securities (as					Number of
		N 3		No. of fully		Nos. of shares		d as per SCRR.					outstanding convertible	a percentage of diluted				of total shares	equity shares
				paid up		underlying	nos.shares	1957) As					securities	share capital)		As a % of	(Not	held	held in
	Category & Name of the		Nos. of sharehold	equity shares held		Depository Receipts	held (VII)=(IV)+	a % of (A+B+C2				% of Total Voting	(including Warrants)	(XI)=(VII)+(X) As a % of		total shares	appli cable		demateria lized form
		PAN (II)	ers (III)	(IV)	held (V)	(VI)	(V)+(VI)	,	Class x	Class y	Total		(X)	A+B+C2)	No. (a)	held (b)		ble) (b)	
	Sub Total		1291	22418	0	-	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		10537
			1201	22-110		<u> </u>	22410	2.0110	22110		22,10	5.0110		0.0770		1.5000			.5007
	Total Public Shareholding																		
	(B)=(B)(1)+(B)(2)+(B)(3)		1291	22418	0	C	22418	0.5773	22418	0	22418	0.5773	C	0.5773	0	0.0000	NA		10537

KOTHA	RI PHYTOCHEMIC	ALS & INDUSTRI	ES LTD.									
Annexui	<u>re</u>	×					7					Y
		Table V - Statem	ent showing det	of significant	beneficial owner	s as on 31/03	/2020					
	De	etails of the (SBO)		Dotaile d	of the registered of	NAME OF THE PROPERTY OF THE PR	Details of ho	lding / exercise of ri	ght of the SBO in direct or indirect:	the reporting	g company,	Date of creation / acquition of significant beneficial
	l De	(I)		Details	of the registered of (II)	wilei			r by virtue of: (III)	W.		interest (IV)
Sr No	Name	PAN / Passport No. in case of a foreign national		Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)		Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
	ARUN KUMAR KOTHARI	AFLPK3831P	INDIAN	KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED M.D. KOTHARI	AACCK1560A	INDIAN	2807121	72.2893				11/21/2008
	PRABHAWATI DEVI KOTHARI Total	AFLPK3786R		& COMPANY LTD.	AADCM5835F	INDIAN	576525 3383646					

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KOTH	ARI PHYTOCHEMICALS & INDUST	TRIES LTD.						V.	Ι				1	T		T		- 1	10.10
Table	lv - Statement Showing shareholding	g pattern of the I	Non Promot	er - Non Publ	hareholde	er as on 31/0	3/2020				7							,	,
											ghts held in ea rities (IX) Rights	ch class of	# # # # # # # # # # # # # # # # # # #	Total Shareholding	Number of shares		Numb sha pledg other encun d (X	res ed or wise nbere	
	Category & Name of the Shareholders (I)		sharehold	No. of fully paid up equity shares held (IV)	up equity	Depository	Total nos.share s held (VII)=(IV) + (V)+(VI)	of (A+B+C2		Classy	Tatal	% of Total Voting	convertible securities (including Warrants)	as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of			No. s (Not li appl (icabla	neld in the second seco	Number of equity shares held in dematerializ ed form
(1)	Custodian / DR Holder	7.44 (11)	CIS (III)	(10)	ricia (v)	(VI)	+ (V)+(VI)	) (VIII)	Class x	Class y	Total	rights	(X)	A+B+C2)	No. (a)	held (b)	(a)	(b)	(XIV)
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		,																
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	(	0.0000	0	0.0000	0	0.0000	NA	NA	0

Distribution Schedule as on 3	1/03/2020			
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	1260		4272	0.1100
51 to 100	18	1.3836	1597	0.0411
101 to 150	4	0.3075	480	0.0124
151 to 250	2	0.1537	320	0.0082
251 to 500	3	0.2306	1200	0.0309
501 to 5000	5	0.3843	8941	0.2302
Above 5000	9	0.6918	3866365	99.5671
Grand Total	1301	100.0000	3883175	100.0000
Distribution Schedule as on 3				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	1287	98.9239	7869	0.2026
501 to 1000	2	0.1537	1800	0.0464
1001 to 2000	1	0.0769	1600	0.0412
2001 to 3000	1	0.0769	2021	0.0520
3001 to 4000	1	0.0769	3520	0.0906
5001 to 10000	3	0.2306	23129	0.5956
Above 10000	6	0.4612	3843236	98.9715
and Total	1301	100.0000	3883175	100.0000
Distribution Schedule as on 3				0.6
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	1287	98.9239	78690	0.2026
5001 to 10000	2	0.1537	18000	0.0464
10001 to 20000	1	0.0769	16000	0.0412
20001 to 30000	1	0.0769	20210	0.0520
30001 to 40000	1	0.0769	35200	0.0906
50001 to 100000	3	0.2306	231290	0.5956
Above 100000	6	0.4612	38432360	98.9715
Grand Total	1301	100.0000	38831750	100.0000

KOTHARI PH	D.	8									
Shareholding	Shareholding Summary as on 31/03/2020										
	As per Fo	olio/Client Id	As p	er PAN							
Form	No of Records	No of Shares	No of Records	No of Shares							
PHYSICAL	1128	11881	1126	11881							
NSDL	143	3871057	139	3871057							
CDSL	237										
TOTAL	TOTAL 1318 3883175 1307 3883175										