

Format of holding of specified securities

1. Name of Entity: KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD. (CIN:U15491WB1897PLC001365)

2. Scrip Code - Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2020

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Entity has issued any partly paid up shares?		No
2	Whether the Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Entity has any shares against which depository receipts are issued?		No
4	Whether the Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities as on 31/03/2020

Annexure - Summary Statement holding of specified securities as on 31/03/2020																			
Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class eg:x	Class eg:y	Total									
(A)	Promoter & Promoter group	10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757	
(B)	Public	1291	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		10537	
(C)	Non Promoter - Non Public															NA			
(C1)	Shares underlying DRs						NA									NA			
(C2)	Shares held by Employee Trusts															NA			
	Total	1301	3883175	0	0	3883175	100.0000	3883175	0	3883175	100.0000	0	100.0000	0				3871294	

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/03/2020

	Category & Name of the Shareholders (I)	PAN	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
									Class x	Class y	Total								
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		4	40861	0	0	40861	1.0523	40861	0	40861	1.0523	0	1.0523	0	0.0000	0	0.0000	40861
	ARUN KUMAR KOTHARI	AAFHA2890H	1	19040	0	0	19040	0.4903	19040	0	19040	0.4903	0	0.4903	0	0.0000	0	0.0000	19040
	ANAND VARDHAN KOTHARI	ARZPK7312D	1	13300	0	0	13300	0.3425	13300	0	13300	0.3425	0	0.3425	0	0.0000	0	0.0000	13300
	PRABHAWATI DEVI KOTHARI	AFLPK3786R	1	6500	0	0	6500	0.1674	6500	0	6500	0.1674	0	0.1674	0	0.0000	0	0.0000	6500
	ARUN KUMAR KOTHARI	AFLPK3831P	1	2021	0	0	2021	0.0520	2021	0	2021	0.0520	0	0.0520	0	0.0000	0	0.0000	2021
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (specify)																		
	Bodies Corporate		6	3819896	0	0	3819896	98.3704	3819896	0	3819896	98.3704	0	98.3704	0	0.0000	0	0.0000	3819896
	KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AACCK1560A	1	2828080	0	0	2828080	72.8291	2828080	0	2828080	72.8291	0	72.8291	0	0.0000	0	0.0000	2828080
	M.D. KOTHARI & COMPANY LTD.	AADCM5835F	1	576525	0	0	576525	14.8467	576525	0	576525	14.8467	0	14.8467	0	0.0000	0	0.0000	576525
	G. DAS & COMPANY PRIVATE LIMITED	AABCG2416L	1	216291	0	0	216291	5.5700	216291	0	216291	5.5700	0	5.5700	0	0.0000	0	0.0000	216291
	ALBERT DAVID LTD	AACCA3933D	1	190000	0	0	190000	4.8929	190000	0	190000	4.8929	0	4.8929	0	0.0000	0	0.0000	190000
	PREMIER SUPPLIERS PRIVATE LIMITED	AACCP9823B	1	8000	0	0	8000	0.2060	8000	0	8000	0.2060	0	0.2060	0	0.0000	0	0.0000	8000
	SATYAM FINANCIAL SERVICES LTD.	AALCS3758B	1	1000	0	0	1000	0.0258	1000	0	1000	0.0258	0	0.0258	0	0.0000	0	0.0000	1000
	Sub Total		10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	3860757	0	0	3860757	99.4227	3860757	0	3860757	99.4227	0	99.4227	0	0.0000	0	0.0000	3860757

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2020

Annexure II - Statement showing shareholding pattern of the Company as on 31/03/2020																					
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
									No of Voting Rights			Total as a % of Total Voting rights					No. (a)	As a % of total shares held (b)		No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total										
(1)	Institutions																				
(a)	Mutual Funds / UTI																				
(b)	Venture Capital Funds																				
(c)	Alternate Investment Funds																				
(d)	Foreign Venture Capital Investors																				
(e)	Foreign Portfolio Investors																				
(f)	Financial Institutions / Banks																				
(g)	Insurance Companies																				
(h)	Provident Funds / Pension Funds																				
(i)	Any Other (specify)																				
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0		
(2)	Central Government / State Government(s) / President of India																				
(3)	Non-Institutions																				
(a)	Individuals-																				
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		1278	10149	0	0	10149	0.2614	10149	0	10149	0.2614	0	0.2614	0	0.0000	NA		1904		
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs																				
(b)	NBFCs registered with RBI																				
(c)	Employee Trusts																				
(d)	Overseas Depositories (holding DRs) (balancing figure)																				
(e)	Any Other (specify)																				
	Investor Education and Protection Fund Authority		1	8629	0	0	8629	0.2222	8629	0	8629	0.2222	0	0.2222	0	0.0000	NA		8629		
	Bodies Corporate		10	116	0	0	116	0.0030	116	0	116	0.0030	0	0.0030	0	0.0000	NA		0		
	Non Resident Individual		2	3524	0	0	3524	0.0908	3524	0	3524	0.0908	0	0.0908	0	0.0000	NA		4		

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2020

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
								Class x	Class y	Total								
Sub Total		1291	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		10537
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1291	22418	0	0	22418	0.5773	22418	0	22418	0.5773	0	0.5773	0	0.0000	NA		10537

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 31/03/2020												
Details of the (SBO)				Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
(I)				(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ARUN KUMAR KOTHARI	AFLPK3831P	INDIAN	KOTHARI INVESTMENT & INDUSTRIES PRIVATE LIMITED	AACCK1560A	INDIAN	2807121	72.2893				11/21/2008
2	PRABHAWATI DEVI KOTHARI	AFLPK3786R	INDIAN	M.D. KOTHARI & COMPANY LTD.	AADCM5835F	INDIAN	576525	14.8467				
Total							3383646	87.1361				

Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2020

Statement showing Shareholding pattern of the Non Promoter - Non Public Shareholder as on 31/03/2020																			
	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights			Total as a % of Total Voting rights		No of shares Underlying outstanding convertible securities (including Warrants) (X)	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.				
Distribution Schedule as on 31/03/2020				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 50	1260	96.8486	4272	0.1100
51 to 100	18	1.3836	1597	0.0411
101 to 150	4	0.3075	480	0.0124
151 to 250	2	0.1537	320	0.0082
251 to 500	3	0.2306	1200	0.0309
501 to 5000	5	0.3843	8941	0.2302
Above 5000	9	0.6918	3866365	99.5671
Grand Total	1301	100.0000	3883175	100.0000
Distribution Schedule as on 31/03/2020				
Share Holding	No of Holders	% age	No of Shares	% age
Upto 500	1287	98.9239	7869	0.2026
501 to 1000	2	0.1537	1800	0.0464
1001 to 2000	1	0.0769	1600	0.0412
2001 to 3000	1	0.0769	2021	0.0520
3001 to 4000	1	0.0769	3520	0.0906
5001 to 10000	3	0.2306	23129	0.5956
Above 10000	6	0.4612	3843236	98.9715
and Total	1301	100.0000	3883175	100.0000
Distribution Schedule as on 31/03/2020				
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)	% age
Upto 5000	1287	98.9239	78690	0.2026
5001 to 10000	2	0.1537	18000	0.0464
10001 to 20000	1	0.0769	16000	0.0412
20001 to 30000	1	0.0769	20210	0.0520
30001 to 40000	1	0.0769	35200	0.0906
50001 to 100000	3	0.2306	231290	0.5956
Above 100000	6	0.4612	38432360	98.9715
Grand Total	1301	100.0000	38831750	100.0000

KOTHARI PHYTOCHEMICALS & INDUSTRIES LTD.				
Shareholding Summary as on 31/03/2020				
	As per Folio/Client Id		As per PAN	
Form	No of Records	No of Shares	No of Records	No of Shares
PHYSICAL	1128	11881	1126	11881
NSDL	143	3871057	139	3871057
CDSL	47	237	42	237
TOTAL	1318	3883175	1307	3883175